# Message Text

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**ACTION EB-07** 

INFO OCT-01 CIAE-00 COME-00 DODE-00 NSAE-00 TRSE-00 EUR-12

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TO SECSTATE WASH DC 6676

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**EXCON** 

E.O. 11652 XGDS1
TAGS: ESTC, COCOM
SUBJECT: COCOM LIST REVIEW-ACTION SUMMARY-SEVENTH (AND LAST ) WEEK OF ROUND II (APRIL 14 - 17)

REF: OECD PARIS 10062

SUMMARY: EVEN THOUGH THE USDEL RECEIVED INSTRUCTIONS ON ALL SIX ITEMS SCHEDULED, THEY WERE NOT SUCH AS TO PERMIT THE RESOLUTION OF ANY. US ACTION SHOULD PERMIT CLOSING OF IL 1587, AND AGREEMENT ON COMPROMISE PROPOSALS ON IL 1585 SEEMS NOT BEYOND THE POSSIBLE. THE MOST CRITI-CAL ISSUE REQUIRING TECHNICAL ADVICE AND POLICY DECISION, HOWEVER, IS WHETHER THE US CAN ACCEPT CONTINUED SPECIFIC CONTROL OF TECHNOLOGY OF SOME SUBITEMS NOW EMBARGOED UN-DER IL 1572, BUT AGREE TO THE EXCLUSION OF END-ITEMS NOW SUBJECT TO ADMINISTRATIVE EXCEPTION NOTES. USDEL/WASH TEAM BELIEVE IT CAN AND SHOULD. ON THE ASSUMPTION THAT AT LEAST TECHNOLOGY CONTROLS OVER TAPE MANUFACTURE WILL CONTINUE, THE JAPANESE PROPOSALS FOR IL 1356 AND 1358 SHOULD LAPSE AND REQUIRE NO FURTHER SPECIFIC CONSIDERA-TION. WE HAVE PROBABLY CONVINCED THE JAPANESE NOT TO PRESS THEIR DELETION PROPOSAL FOR IL 1588 (B)(2), BUT THEY MAY BE BACK WITH A MORE MODEST SUGGESTION FOR PARA-METER CHANGE IN THAT SUBITEM, AND AN ASSOCIATED AMEND-MENT TO IL 1358. ON THIS, WE COULD WAIT AND SEE, BUT CONFIDENTIAL

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PREFER THAT CONTINGENCY INSTRUCTIONS FOR ROUND III BE

DEVELOPED. ACTION REQUESTED: AS NOTED BELOW AND IN ITEM REPORTING. END SUMMARY.

- 1. IL 1356 AND 1358 RECORDING MEDIA AND MEMORY MANUFACTURING EQUIPMENT. DISCUSSION ON THESE TWO ITEMS WAS AGAIN POSTPONED BY THE JAPANESE WITH US URGING SINCE AGREEMENT ON IL 1572, RECORDING EQUIPMENT AND MEDIA, HAD NOT YET BEEN ACHIEVED (SEE OECD PARIS 9880). THERE IS, HOWEVER, THE POSSIBILITY OF A LATER SUGGESTION FOR AMENDMENT OF IL 1358 IN CONNECTION WITH THE JAPANESE PROPOSAL FOR MODIFICATION OF A SIZE PARAMETER IN IL 1588 CRYSTALLINE MATERIALS (OECD PARIS 9879). EVENTUAL INSTRUCTIONS ON IL 1572 SHOULD ALSO DEAL WITH OUR POSITION ON IL 1356 AND 1358.
- 2. IL 1572 RECORDERS AND RECORDING MEDIA. OTHER DE-LEGATIONS PRESSED FOR LIBERALIZATION OF EQUIPMENT AND RECORDING MEDIA ALREADY SUBJECT TO ADMINISTRATIVE EX-CEPTION NOTES, ON THE UNDERSTANDING THAT EXCLUSIONS WOULD HAVE NEGLIGIBLE ADVERSE STRATEGIC EFFECT IF COUPLED WITH CONTINUED CONTROLS ON TECHNOLOGY. EXCEPT FOR THE FRENCH RESERVE FOR A HIGHER PACKING DENSITY CUT-OFF, ALL DELEGATIONS ACCEPTED A US PROPOSAL TO EXCLUDE DIGITAL RECORDERS USED WITH WORD PROCESSING EQUIPMENT (OECD PARIS 9752). AN AD REF US PROPOSAL TO DEAL WITH METRO TURNSTILE, CHECK CLEARING, AND PRICE TAGGING EQUIPMENT (INTER ALIA) WAS FAVORABLY RECEIVED, AND THERE WAS SUBSTANTIAL AGREEMENT ON THE ASSOCIATED (BUT SEPA-RATE) PROPOSALS TO DELETE THE PRESENT MISLEADING EXCLU-SION CLAUSE IN NOTE 1 AND TO STNGTHEN CONTROL ON FLEX-IBLE DISC TECHNOLOGY. DETAILS OF THE DISCUSSION ARE RE-PORTED IN OECD PARIS 9902, AND OUR ANALYSIS AND RECOM-MENDATIONS APPEAR IN OECD PARIS L0063. EDAC AGENCIES MUST DECIDE WHETHER THE COURSE SUGGESTED BY OTHER MEM-BERS IS ACCEPTABLE: IF SO, PROPOSE ANY CLARIFYING COUN-TERPROPOSAL DEEMED NECESSARY; AND, IF NOT, COME UP WITH CONVINCING REFUTATIONS IN TERMS OF THE COCOM CRITERIA. AS A MATTER OF PRIORITY THE USDEL SHOULD BE INSTRUCTED TO CONFIRM THE AD REF PROPOSALS DESCRIBED IN PARA. 22 OF OUR 9902. WE SHOULD ALSO BE AUTHORIZED TO STATE THAT WE CONFIDENTIAL.

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AGREE THAT THE ADMINISTRATIVE NOTE IN (III) OF PARA.

22 COULD TAKE EFFECT IMMEDIATELY AFTER COMMITTEE

AGREEMENT, PENDING FINAL RESOLUTION OF IL 1572 IN GENERAL.

3. IL 1585 - PHOTOGRAPHIC EQUIPMENT. PROMPT REVIEW OF THE US POSITION IS REQUIRED TO TAKE ACCOUNT OF EVIDENCE PRESENTED BY OTHER DELEGATIONS IN THE ROUND II DISCUSSIONS. THAT REVIEW SHOULD ALSO DEAL WITH THE APPARENT

#### INCONSISTENCY OF OUR MOST RECENT INSTRUCTIONS WITH EAR-

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LIER TTG ADVICE AND WITH THE COCOM STRATEGIC CRITERIA. OECD PARIS 9842 GIVES DETAILS AND SUGGESTS THAT RESOLUTION BEFORE ROUND III IS POSSIBLE BY JOINING THE MAJORITY.

- 4. IL 1587 QUARTZ CRYSTALS. USDEL AND WASH TEAM MEMBERS WERE PUZZLED BY RETROGRADE NATURE OF INSTRUCTIONS RECEIVED ON THIS ITEM, SO MUCH AT VARIANCE WITH PREVIOUS TECHNICAL ADVICE. OECD PARIS 10060 RECOMMENDS THE PROMPT LIFTING OF US RESERVES ON THE UK PROPOSAL FOR (B) (2), THE OTHERWISEAGREED (3), AND THE JAPANESE PROPOSAL FOR A TRULY MARGINAL CHANGE. THIS ACTION WOULD PROBABLY ENCOURAGE OTHER RESERVING DELEGATIONS TO LIFT THEIRS AS WELL.
- 5. IL 1588 CRYSTALLINE MATERIALS. AS NOTED IN OECD PARIS 9869, A POSITION PAPER ON THIS ITEM APPARENTLY WENT ASTRAY AND NEVER REACHED THE USDEL. THAT SAME POSITION WAS USED IN ROUND II BY ITS DRAFTER, DR. FINKLER, AND SEEMED TO CONVINCE THE JAPANESE THAT THEIR PROPOSAL TO DELETE SUBITEM (B)(2) WAS ILL-ADVISED. AS REPORTED IN OECD PARIS 9879, HOWEVER, THE JAPANESE MAY NOW COME UP WITH A REVISED PROPOSAL TO DECREASE THE SIZE PARAMETER IN (B)(2) AND TO MAKE COMPENSATING CHANGES IN IL

1358. WE SHOULD BE PREPARED WITH CONTINGENCY INSTRUCTIONS FOR ROUND III. TURNER CONFIDENTIAL
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